

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NA	NIL		NA
2	SEBI (SCORE S 2.0)	NIL	NIL	NIL	NA	NIL		NA
3	Depositories	NIL	NIL	NIL	NA	NIL		NA
4	Other Sources (if any)	NIL	NIL	NIL	NA	NIL		NA
5	Grand Total	NIL	NIL	NIL	NA	NIL		NA

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	December -2021	Nil	Nil	N.A.	Nil
2	January -2022	Nil	Nil	N.A.	Nil
3	February -2022	Nil	Nil	N.A.	Nil
4	March-2022	Nil	Nil	N.A.	Nil
5	April -2022	Nil	Nil	N.A.	Nil
6	May -2022	Nil	Nil	N.A.	Nil
7	June -2022	Nil	Nil	N.A.	Nil
8	July -2022	Nil	Nil	N.A.	Nil
9	August -2022	Nil	Nil	N.A.	Nil
10	September -2022	Nil	Nil	N.A.	Nil
11	October -2022	Nil	Nil	N.A.	Nil
12	November -2022	Nil	Nil	N.A.	Nil
13	December-2022	Nil	Nil	N.A.	Nil
14	January -2023	Nil	Nil	N.A.	Nil
15	February -2023	Nil	Nil	N.A.	Nil
16	March -2023	Nil	Nil	N.A.	Nil
17	April -2023	Nil	Nil	N.A.	Nil
18	May -2023	Nil	Nil	N.A.	Nil
19	June -2023	Nil	Nil	N.A.	Nil
20	July -2023	Nil	Nil	N.A.	Nil
21	August -2023	Nil	Nil	N.A.	Nil
22	September-2023	Nil	Nil	N.A.	Nil
23	October -2023	Nil	Nil	N.A.	Nil
24	November-2023	Nil	Nil	N.A.	Nil
25	December-2023	Nil	Nil	N.A.	Nil
26	January -2024	Nil	Nil	N.A.	Nil
27	February -2024	Nil	Nil	N.A.	Nil
28	March -2024	Nil	Nil	N.A.	Nil
29	April -2024	Nil	Nil	N.A.	Nil
30	May-2024	Nil	Nil	N.A.	Nil

31	June-2024	Nil	Nil	N.A.	Nil
32	July-2024	Nil	Nil	N.A.	Nil
33	August - 2024	Nil	Nil	N.A.	Nil
34	September - 2024	Nil	Nil	N.A.	Nil
35	October - 2024	Nil	Nil	N.A.	Nil
36	November - 2024	Nil	Nil	N.A.	Nil
37	December - 2024	Nil	Nil	N.A.	Nil
38	January - 2025	Nil	Nil	N.A.	Nil
39	February – 2025	Nil	Nil	N.A.	Nil
40	March – 2025	Nil	Nil	N.A.	Nil
41	April – 2025	Nil	Nil	N.A.	Nil
42	May – 2025	Nil	Nil	N.A.	Nil
43	June – 2025	Nil	Nil	N.A.	Nil
44	July – 2025	Nil	Nil	N.A.	Nil
45	August – 2025	Nil	Nil	N.A.	Nil
	Grand Total	Nil	Nil	N.A.	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received During the year	Received During the year	Pending at the end of the year
1	2021-22	NIL	NIL	NA	NA
2	2022-23	NIL	NIL	NA	NA
3	2023-24	NIL	NIL	NA	NA
4	2024-25	NIL	NIL	NA	NA
	Grand Total	NIL	NIL	NA	NA